

**VPM's Joshi Bedekar College, Thane**  
**Department of Financial Markets**  
**Value Added Course On**

**Title :** “ Mutual Fund Certification”

**Preamble:**

Mutual Fund Certification have been designed to enhance the knowledge of Mutual Funds and give a practical outlook of functioning of Mutual Funds in India. The course focus mainly on the how to create offer document, Documentation requirements for Mutual Fund Investors, Returns in Mutual Fund Schemes etc.

**Objectives**

- 1.To give an overview of financial market and mutual fund industry.
- 2.To make students market ready with respect to investment Strategies.
3. 3.To equip the students appear for NISM series V-A

## Syllabus

Module No.	Content
<b>I</b>	<b>Introduction , Concept Of Mutual Fund</b> Concept and role of mutual funds ,Advantages and disadvantages of mutual funds for investors , Types of mutual fund schemes ,Key developments in the mutual fund industry over the years
	<b>Types of Mutual Fund</b> Role of key constituents and other service providers of the mutual fund eco-system
<b>II</b>	<b>Legal Structure of Mutual Fund and Regulatory Environment</b> Regulatory environment of mutual funds in India ,Rights and obligations of investors ,AMFI's Code of Ethics , AMFI's Code of Conduct for Intermediaries of mutual funds
<b>III</b>	<b>Offer Document</b> Offer Document in a mutual fund , Key Information Memorandum
	<b>Distribution Channel</b> Distribution Channels – Traditional and New ,Commission Structure in mutual fund
<b>IV</b>	<b>Accounting, Valuation and Taxation</b> Accounting of mutual fund schemes ,Calculation of net asset value ,Valuation of securities in the scheme's portfolio , Impact of taxation on various types of mutual fund schemes and investors in these schemes
	<b>Investor Service</b> Eligibility criteria for investment in mutual funds in India ,Documentation requirements for mutual fund investors , Different kind of transactions in mutual funds ,Systematic transactions in

	mutual funds
<b>V</b>	<b>Risk and Return of Funds</b> Calculation of returns in mutual fund schemes , Risk in mutual fund schemes , Measures of risk , Performance of mutual fund schemes
	<b>Selecting Right Investment product for Investors</b> Concept of Savings and Investment , Concept of Inflation Risk , Difference between financial and physical assets ,Difference between fixed deposits and debt schemes , Salient features of National Pension System
	<b>Portfolio Management and Financial Planning</b> Selecting different mutual fund schemes according to the scheme objective , Sources of data for tracking performance of mutual fund schemes

Course Duration : 32 Hours ( 2Hours each Day)

Eligible Students : BBI/BFM/BAF/BMS/B.Com

Date of Commencement of Course: 29<sup>th</sup> July 2019 to 30<sup>th</sup> August 2019

Venue : Kattyayan and A-304

Time : 4.30pm to 6.30pm

### **References Books:**

- 1.Common Sense on Mutual Funds : John C Bogle
- 2.The Mutual Funds: Alan Northcott
- 3.Morningstar Guide to Mutual Funds:Christine Benz.
4. Indian Mutual Funds Handbook 5th Edition: A Guide for Industry Professionals and Intelligent Investors.-Sundar Sankaran
5. Investing for beginners: a beginners guide on how to make money by

investing in mutual funds and stock by Ryan Smith.

**Course Outcomes:**

After Completion of the course students will be able to :

- 1.To understand practical functioning of Mutual Fund Industry in India.
- 2.To equip to appear for NISM Series VA
- 3.To start a career as a Mutual Fund selling agent.